

Electronic Acknowledgement Receipt

EFS ID:	6215072
Application Number:	10644281
International Application Number:	
Confirmation Number:	5799
Title of Invention:	System and method for manager enhanced return on collateralized debt obligation transactions
First Named Inventor/Applicant Name:	Christian Spieler
Customer Number:	28221
Filer:	David Toma.
Filer Authorized By:	
Attorney Docket Number:	36287-3801
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		OA_Response.pdf	2739096 53272c3db271c60246d6b0eec38f3cbae8585b1	yes	12

Multipart Description/PDF files in .zip description

Document Description	Start	End
Amendment/Req. Reconsideration-After Non-Final Reject	1	1
Claims	2	8
Applicant Arguments/Remarks Made in an Amendment	9	12

Warnings:**Information:**

2	Fee Worksheet (PTO-875)	fee-info.pdf	29841 b8e50306803821d49af80f6c41d994841a24c0f0	no	2
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Warnings:**Information:**

Total Files Size (in bytes):	2768937
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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